

11-14-00 527 Rec PCT/PI 13 NOV 2000

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES		752-00
DESIGNATED/ELECTED OFFICE (DO/EO/US)		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
CONCERNING A FILING UNDER 35 U.S.C. 371		09/700439
INTERNATIONAL APPLICATION NO	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/DE99/01436	10 May 1999	18 May 1998
TITLE OF INVENTION		
APPARATUS AND METHOD FOR DETECTING THE PHASE AND AMPLITUDE OF ELECTROMAGNETIC WAVES		
APPLICANT(S) FOR DO/EO/US		
Rudolf Schwarte		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</p> <p>8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</p> <p>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p> <p><b>Items 13 to 20 below concern document(s) or information included:</b></p> <p>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>17. <input type="checkbox"/> A substitute specification.</p> <p>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</p> <p>20. <input type="checkbox"/> Other items or information:</p>		

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <b>09/700439</b>	INTERNATIONAL APPLICATION NO. <b>PCT/DE99/01436</b>	ATTORNEY'S DOCKET NUMBER <b>752-00</b>
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21. The following fees are submitted:				<b>CALCULATIONS PTO USE ONLY</b>	
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>					
<input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$970.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00					
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00					
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$1,000.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				<b>\$0.00</b>	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	- 20 =	0	x \$18.00	<b>\$0.00</b>	
Independent claims	- 3 =	0	x \$80.00	<b>\$0.00</b>	
Multiple Dependent Claims (check if applicable) <input checked="" type="checkbox"/>				<b>\$270.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,270.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable) <input checked="" type="checkbox"/>				<b>\$635.00</b>	
<b>SUBTOTAL =</b>				<b>\$635.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$635.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable) <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$635.00</b>	
				<b>Amount to be: refunded</b>	<b>\$</b>
				<b>charged</b>	<b>\$</b>

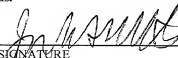
☒ A check in the amount of **\$635.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **16-0750** A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

<b>John F. McNulty, Esquire</b> <b>Paul &amp; Paul</b> <b>2900 Two Thousand Market Street</b> <b>Philadelphia, PA 19103</b> <b>(215) 568-4900</b>	 <b>SIGNATURE</b> <b>John F. McNulty</b> <b>NAME</b> <b>23,028</b> <b>REGISTRATION NUMBER</b> <b>November 13, 2000</b> <b>DATE</b>
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**PATENT**

**IN THE UNITED STATES PATENT OFFICE**

Serial No.: Not yet assigned

Filed: Herewith

For: DEVICE AND METHOD FOR DETECTING  
THE PHASE AND AMPLITUDE OF  
ELECTROMAGNETIC WAVES

Inventor: Rudolf Schwarte

Atty Doc. No.: 752-00

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***PRELIMINARY AMENDMENT***

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sirs:

Please amend the above-identified application as follows:

**In the Claims:**

Claim 4 line 1, change "3" to --2--;

Claim 5, line 1, change "4" to --2--;

Claim 6, line 1, change "5" to --2--;

Claim 7, line 1, change "6" to --2--;

Claim 8, line 1, change "7" to --2--;

Claim 10, line 1, delete "or claim 9";

Claim 11, line 1, change "in one of claims 8 or 9" to --claim 8--;

Claim 14, line 1, change "one of claims 1 through 12" to --claim 2--;

Claim 15, line 1, change "one of claims 1 through 14" to --claim 2--;

Claim 16, line 1, change "one of claims 1 through 15" to --claim 2--;

Claim 17, line 1, change "one of claims 1 through 16" to --claim 2--;

Claim 18, line 1, delete "and claim 17";

Claim 19, line 1, change "one of claims through 18" to --claim 1--;

Claim 20, line 1, change "one of claims 1 through 19" to --1--;

Claim 21, lines 1 and 2, change "one of claims 1 through 18" to --claim 1--;

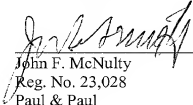
Claim 22, line 1, change "one of claims 1 through 18" to --claim 1--;

Claim 23, lines 1 and 2, change "through 18 and 22" to --and 2--.

#### REMARKS

The above amendments to the claims have been made to place the claims in proper U.S. form and to eliminate multiple dependent claims from depending from other multiple dependent claims.

Respectfully submitted,



John F. McNulty

Reg. No. 23,028

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<b>VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTOR</b>			Docket No. 752-00
Serial No.	Filing Date	Patent No.	Issue Date
Applicant/ Patentee: Rudolf Schwarte			
Invention: <b>APPARATUS AND METHOD FOR DETECTING THE PHASE AND AMPLITUDE OF ELECTROMAGNETIC WAVES</b>			
<p>As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:</p> <p> <input checked="" type="checkbox"/> the specification to be filed herewith.  <input type="checkbox"/> the application identified above.  <input type="checkbox"/> the patent identified above.         </p> <p>I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).</p> <p>Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:</p> <p> <input checked="" type="checkbox"/> No such person, concern or organization exists.  <input type="checkbox"/> Each such person, concern or organization is listed below.         </p> <p>*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention availing to their status as small entities (37 CFR 1.27)</p> <div style="margin-top: 10px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;">FULL NAME</div> <div style="width: 80%; border-bottom: 1px solid black;"></div> </div> <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;">ADDRESS</div> <div style="width: 80%; border-bottom: 1px solid black;"></div> </div> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <input type="checkbox"/> Individual           <input type="checkbox"/> Small Business Concern           <input type="checkbox"/> Nonprofit Organization         </div> </div> <div style="margin-top: 10px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;">FULL NAME</div> <div style="width: 80%; border-bottom: 1px solid black;"></div> </div> <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;">ADDRESS</div> <div style="width: 80%; border-bottom: 1px solid black;"></div> </div> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <input type="checkbox"/> Individual           <input type="checkbox"/> Small Business Concern           <input type="checkbox"/> Nonprofit Organization         </div> </div> <div style="margin-top: 10px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;">FULL NAME</div> <div style="width: 80%; border-bottom: 1px solid black;"></div> </div> <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;">ADDRESS</div> <div style="width: 80%; border-bottom: 1px solid black;"></div> </div> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <input type="checkbox"/> Individual           <input type="checkbox"/> Small Business Concern           <input type="checkbox"/> Nonprofit Organization         </div> </div> <div style="margin-top: 10px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;">FULL NAME</div> <div style="width: 80%; border-bottom: 1px solid black;"></div> </div> <div style="display: flex; justify-content: space-between;"> <div style="width: 20%;">ADDRESS</div> <div style="width: 80%; border-bottom: 1px solid black;"></div> </div> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <input type="checkbox"/> Individual           <input type="checkbox"/> Small Business Concern           <input type="checkbox"/> Nonprofit Organization         </div> </div>			

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR Rudolf SchwartzSIGNATURE OF INVENTOR Rudolf SchwartzDATE: 8. Nov. 2000

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_

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SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_

ATTY DOC. 752-00

Apparatus and method for detecting the phase and  
amplitude of electromagnetic waves  
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The invention concerns an apparatus for detecting the phase and amplitude of electromagnetic waves, more specifically preferably in the optical and in the near infrared and ultraviolet range, comprising at least  
10 two modulation photogates which are sensitive to the electromagnetic waves (or photosensitive) and accumulation gates which are associated with the modulation photogates and which are not photosensitive or shaded, and electrical connections for the modulation photogates and the accumulation gates so that the latter can be connected to a reading-out  
15 device and the former can be connected to a modulating device, wherein the modulating device increases or reduces the potential of the modulation photogates relative to each other and also relative to the preferably constant potential of the accumulation gates corresponding to a desired modulation function.

20 Such an apparatus is known by the term 'photomixing detector' (abbreviated as PMD) from German patent applications Nos 196 35 932.5 and 197 04 496.4 and international patent application PCT/DE97/01956 based on the two applications referred to above.

The above-indicated applications are to the same inventor and were  
25 filed for the applicant of the present application, and reference is made to the entire disclosure of those previous applications insofar as described therein are the basic mode of operation, performance and possible uses of photomixing detectors. The present invention therefore does not discuss those fundamental functions of photomixing detectors but is concerned  
30 primarily with specific configurations and uses of photomixing detectors, by which the elements that are already known are optimised.

By virtue of the inherent mixing procedure which is implemented upon reception of the light which is modulated, reflected or emitted by an

object, by the modulation photogates which are modulated with the same modulation function, the known PMDs are in a position directly to detect the transit time of the electromagnetic waves reflected by the object and therewith, besides lateral locational resolution which is ensured by means of a suitable optical system as in conventional cameras, simultaneously also to obtain items of spacing information about the recorded pixels. Those PMDs therefore permit direct three-dimensional surveying and measurement of surfaces without the need for expensive evaluation procedures and recording procedures at various angles.

In order to achieve adequate sensitivity and depth resolution in the case of the known PMDs, the pixel surfaces must be sufficiently large so that, during the recording duration of an individual image, sufficient electromagnetic radiation is received from the various surface regions of the object and a suitable number of charge carriers is produced in the photosensitive material as ultimately the spacing information is obtained by way of the different number of charge carriers which occur at different moments in time at the modulation photogates and are accumulated by way of the immediately adjoining accumulation gates.

That entails a certain minimum size in regard to the area of the individual pixels. Problems can also occur with the conventional PMDs by virtue of the fact that very sharp light-dark boundaries of the object are produced in the image. If such a light-dark boundary falls by chance on the boundary region between adjacent modulation photogates, then the different number of charge carriers at the adjacent accumulation gates thus fakes a correlation result which leads to incorrect interpretation in the sense of depth information.

In addition the transit times in photosensitive pixel elements of that kind, which are of relatively large area, are comparatively long so that the band width or the limit value of the modulation frequency is usually only in the region of a few megahertz to a maximum of 100 MHz. Band widths of at least 1 GHz are desired in particular for the use of corresponding



photosensitive detectors, for example in the opto-electronic art and in optical signal transmission.

In addition a higher level of functionality and flexible use of the PMD-pixels and PMD-arrays is desirable for different uses, for example for  
5 implementing different modes of operation with the same pixels, in particular for reasons of economy.

Having regard to the foregoing, the object of the present invention is to provide an apparatus for detecting the phase and amplitude of electromagnetic waves, having the features set forth in the opening part  
10 of this specification, which has a markedly improved band width, in which moreover misinterpretations of light-dark boundaries on imaged surfaces are less probable or even excluded, and with which a higher level of functionality and economy in practical uses is achieved.

That object is attained in that the modulation photogates like also  
15 the accumulation gates are provided in the form of long narrow parallel strips in mutually juxtaposed relationship, which group-wise form a PMD-pixel, and wherein the accumulation gates are in the form of reading-out diodes.

The fact that the modulation photogates and the accumulation  
20 gates are in the form of narrow long strips and the arrangement thereof in parallel directly mutually juxtaposed relationship results in very short channel lengths for the gates (the modulation gate strip width is referred to as the gate length, from MOS-transistor technology). The free charge carriers produced in or under the modulation photogates drift only  
25 transversely with respect to the strip direction by the short distance of the gate length to the adjoining accumulation gate, in which respect that drift is supported by a suitable electrical field on the part of the modulation voltage at the modulation photogates. As a result the drift times fall for example below 1 nanosecond so that accordingly it is possible to achieve a  
30 usable modulation band width of 1 GHz. Even if the individual strips of the modulation photogates and also the accumulation gates are relatively

narrow, nonetheless by virtue of their corresponding length they can afford a sufficiently large photosensitive area while in addition it will be appreciated that a plurality of alternately arranged, strip-shaped modulation photogates and accumulation gates can be connected together to form a unit with almost a doubling of the optical filling factor. In that way virtually any pixel shapes and pixel sizes can be embodied by strip structures of that kind, without a limitation in terms of modulation band width.

The preferred embodiment of the invention provides that the individual modulation photogates are of a width which is greater than that of the respectively adjoining accumulation gates, while in addition the width of the modulation photogates should if possible be smaller than the diffraction limit of the imaging optical system for the modulated light detected by those elements, and should preferably be of the order of magnitude of the wavelength or a few wavelengths of that light or that electromagnetic wave. This means that, by virtue of diffraction effects, sharp light-dark boundaries can no longer accidentally fall on the region between two adjacent modulation photogates which are modulated in push-pull relationship. On the contrary the small dimensions of the modulation photogates in the transverse direction provide that a shadow or light-dark boundary must be spread over the full width of those gates so that both adjacent gates are equally still acted upon by light. In addition, with very long, correspondingly narrow modulation photogates, it is in any case highly improbable that a light-dark boundary extends precisely parallel to the direction of those strips. With the slightest inclination relative to the strips however at any event the two adjacent modulation photogates which are modulated in push-pull relationship are substantially equally acted upon with light from the light part and the dark part of the respective object whose image is produced.

The strip length of the modulation photogates and also of the accumulation gates should if possible be at least ten times to one hundred

times the width thereof. The width of the pixels formed from a plurality of modulation photogates and accumulation gates should overall be approximately of the same order of magnitude as the length, which means that about 10 - 100 strips are to be arranged in mutually juxtaposed relationship, of which about a third are accumulation gates and about two thirds are modulation photogates. In another embodiment of the invention however it is possible also to arrange three or even more modulation photogates in the form of corresponding strips to improve the potential configuration between each two accumulation gates, in which case the central modulation photogate should be unmodulated. In addition, a preferred embodiment of the invention is one in which, as viewed in the transverse direction with respect to the strips, in a pixel, there are always two modulation photogate strips alternating with an accumulation gate strip, wherein the two immediately mutually juxtaposed modulation photogates are connected in such a way that their potential can be modulated in push-pull relationship with respect to each other, wherein the accumulation gates each have a preferably constant, lower energy potential, that is to say for example positive potential for the photoelectrons, which provides that the charge carriers produced under the two modulation photogates drift predominantly to the side of that modulation photogate which assumes the low energy potential value and from there go to the accumulation gate arranged on that side of the two strips. In that case the two modulation photogate strips which are arranged on respective sides of an accumulation gate are modulated in push-pull relationship, that is to say at a given moment in time an accumulation gate simultaneously receives charge carriers from both modulation photogate strips adjacent thereto while the respectively adjacent accumulation gate is adjacent to two modulation photogate strips which at that moment in time are precisely at a higher potential so that only very few charge carriers go to that accumulation gate. Accordingly each second respective accumulation gate is also connected to one and

the same reading-out line and the remaining accumulation gates are connected to another reading-out line, wherein the sum signal of those two lines reproduces the amplitude of the received light while the difference signal directly specifies the value of the correlation signal which arises out of modulation of the received light and simultaneous modulation with the same modulation function of the directly adjacent modulation photogates in push-pull relationship. That also occurs in a completely analogous fashion when using a third modulation photogate which would additionally be arranged between the two above-mentioned modulation photogates and which for example could be disposed at a constant mean potential while the two adjacent photogates could be increased and reduced with the modulation voltage in push-pull relationship relative to the central gate. In that way the potential configuration can also be smoothed somewhat and the level of efficiency in regard to unilateral displacement of the charge carriers could be increased in accordance with the respective current value of the modulation voltage.

In accordance with the invention the accumulation gates are in the form of reading-out diodes.

In the possible voltage reading-out mode the photocharges which are distributed in accordance with the push-pull modulation voltages are stored on the capacitances of the accumulation gates - in this case on the barrier layer capacitances of the reading-out diodes which are connected in the blocking direction (for example pn-diodes or Schottky diodes) - and ascertained with a high-ohmic reading-out apparatus.

In the current reading-out mode which is preferred herein the arriving photocharge is transmitted directly to the reading-out circuit with a practically unaltered potential of the reading-out electrode.

As in the case of the semiconductor materials which are current at the present time for the uses of this invention, electron mobility is greater than that of the holes or defect electrons, preferably photoelectrons are directionally modulated by the modulation photogates and distributed in

accordance with the modulation voltage to the accumulation gates or reading-out diodes. In this case the anodes of the reading-out diodes are preferably at common ground potential while the cathodes are at positive potential and are connected as reading-out electrodes  $K_+$  and  $K_-$  respectively to the reading-out circuit.

The above-discussed two kinds of accumulation gates  $K_+$  and  $K_-$  alternate with each other in a group of accumulator gates and modulation gates which in accordance with the invention operate in parallel and which form a novel PMD-pixel of highest band width, in accordance with the invention, in a manner such that for example a positive modulation photogate voltage results in a photocharge enrichment at the  $K_+$ -accumulation gates or a photocharge depletion at the  $K_-$ -accumulation gates, wherein the accumulation gates are used double by virtue of the double-sided charge accumulation and almost double the optical degree of filling internal to the pixels and noticeably reduce parasitic capacitances.

In a preferred embodiment of the invention two pixels respectively comprising a plurality of parallel strips of modulation photogates and accumulation gates are arranged in directly mutually juxtaposed relationship, here referred to as 2-quadrature pixels. In this respect it is to be pointed out that in the transverse direction the pixels are respectively terminated by an individual modulation photogate adjoining the last accumulation gate strip in that direction, and not by a pair, as is the case between the accumulation gates. If two such pixels are arranged in directly mutually juxtaposed relationship, then those two terminal strips which each form a respective modulation photogate of a respective one of the two pixels come to lie in mutually juxtaposed relationship and the two pixels which are separated in themselves can now be modulated in such a way that the two mutually juxtaposed modulation photogates are modulated in push-pull relationship with respect to each other, which effectively amounts to having the pixel surface area doubled at the same modulation voltage and phase, with a unitary larger pixel being formed

from the two individual pixels. As however in this configuration the two halves of that larger pixel can in principle be modulated independently of each other, the modulation function can equally well also be displaced in respect of phase or transit time in regard to the one pixel through  $90^\circ$  or a suitable delay  $T_D$ , in relation to the other pixel. That means that in-phase and quadrature signals are measured at the same time so that in that fashion complete information about the phase position of the correlation function is obtained in parallel and simultaneous relationship.

In that case the accumulation gate connections or terminals are desirably respectively provided at the end of one of the pixels. The modulation photogate connections or terminals are preferably provided in the form of push-pull strip lines from both ends or strip ends of the pixel surfaces, more specifically in particular in the case of especially long strips in addition by virtue of transversely extending push-pull strip lengths in each case as a multiple and at equal spacings. That prevents the modulation signal being attenuated and deformed over the length of the gate by virtue of the electrical surface resistance of the modulation photogate, so that the ascertained correlation function is also correspondingly deformed.

A particularly preferred embodiment of the invention is one in which four pixels are arranged in a rectangle or square and form a unit, more specifically in such a way that the strips of pixels arranged in the square or rectangle in respective diagonal relationship with each other extend parallel to each other while the strips of the directly adjacently disposed pixels extend perpendicularly to each other, thereby substantially avoiding in particular troublesome mutual overcoupling effects. This embodiment is referred to herein as 4 quadrant (4Q)-PMD-pixels. If the pixels themselves are square then the pixel element composed of the four quadrants is also square and by phase shift of modulation as between diagonally mutually opposite pixels it is possible simultaneously to detect the push-pull correlation values of the in-phase and quadrature signals.

In addition a further embodiment of the invention is preferred in which arranged over the modulation photogates and the accumulation gates are corresponding strip-shaped lenses, specifically therefore cylindrical lenses, which focus the light impinging on the lenses on to the modulation photogates so that the surface components which are occupied by the non-photosensitive accumulation gates also still effectively contribute to the light yield. If the coupled-in modulated light is relatively narrow-band in nature, the strip structure can be so dimensioned for a mean wavelength of such light that the coupling-in factor, in accordance with the wave theory, is markedly greater, or the reflection factor is markedly smaller, than corresponds to the reflection factors in accordance with geometrical optics. In that case it is possible to involve an improvement in the modulation photogates and to promote such a measure.

A plurality of pixels can either be connected together to form a linear array or a matrix array, in which respect a preferred embodiment is one in which arranged over the individual pixels are microlenses which direct the incident light which in part is also directed on to regions which are between the pixels and do not contribute to evaluation through the microlenses on to the photosensitive pixel surfaces.

A 4Q-PMD-pixel, with four times the same or with differing modulation, can measure the points of concentration of the four square sub-pixels and at the same time ascertain the overall phase or transit time of the 4Q-PMD-pixel by averaging of all 4 correlation values. The four individual concentration point transit times supply in that case the gradient or normal vector of the imaged surface element and permit improved interpolation of the 3D-surface to be measured, between the adjacent pixels of an array.

Finally a particularly preferred embodiment of the invention is one in which the pixels, that is to say the individual modulation photogates and the accumulation gates are implemented using CMOS-technology. That is

a very inexpensive and well-established technology which permits mass production of corresponding elements and at the same time also allows on-chip and multi-chip module integration of the peripheral electronics such as the evaluation electronics and the modulation electronics.

5 In CMOS-technology both conventional CMOS-pixels with 2D-functionality (so-called 2D-pixels) and also PMD-pixels with 3D-functionality (so-called 3D-pixels) can be integrated in a linear array or in a matrix array in a mixed configuration. In this case the various, in particular adjacent items of pixel information can be evaluated in a downstream-disposed, data-fusioning and interpolating apparatus, in terms of rapid reconstruction of the complete 3D-color/depth image by means of the items of color information of the 2D-pixels and the 3D-depth and 2D-gray value information of the 3D-PMD-pixels, which affords totally new options in regard to optical measurement procedures and in automation, object identification, security technology and multi-media technology.

Further advantages, features and possible uses of the present invention will be apparent from the description hereinafter of preferred embodiments and the accompanying drawings in which:

20 Figure 1 is a plan view of a pixel of a first embodiment of the present invention,

Figure 2 shows the interconnection of two adjacent pixels,

Figure 3 shows a portion from a view in cross-section through the pixel elements shown in Figure 2, in a section taken along line III-III in Figure 2,

25 Figure 4 is a plan view on an enlarged scale of a portion of the double pixel or 2-quadrant pixel shown in Figure 2,

Figure 5 is a view in section transversely to the strip direction through a pixel in another embodiment of the present invention with in each case three modulation photogates between two respective



accumulation gates and a buried n-layer and with modulation photogate electrodes embedded in the insulating material,

Figure 6 is a plan view of the 3-gate structure of a PMD-pixel in a multi-strip technology as shown in Figure 5 in a view corresponding to Figure 4,

Figure 7 is a perspective view of a portion of the pixel shown in Figures 5 and 6,

Figure 8 shows four pixel elements which are connected together with different strip orientations and which form a pixel unit for various modes of operation,

Figure 9 shows a field comprising  $2 \times 4$  pixels as shown in Figure 8,

Figure 10 diagrammatically shows the mode of operation of a 3D-camera which is constructed from a relatively large field of pixel elements similarly to Figure 9,

Figure 11 shows an optical PLL-circuit or DLL-circuit on a PMD basis for light barriers, time lapse or delay cameras and data light barriers with optional data signal regeneration, and

Figure 12 shows a login amplifier circuit for measuring in-phase and quadrature signals and signals with band spread technology in particular for high-sensitivity data light barriers, for phase transit time measurements and for optical data transmission preferably in optical CDMA (Code Division Multiple Access) systems and with optional data signal regeneration.

Shown in the middle part of Figure 1 is a row of parallel vertical strips, wherein the light strips reproduce photosensitive, semitransparent modulation photogates while the dark strips which are identified by references 4 and 5 correspond to light-opaquely covered accumulation gates or reading-out gates. The narrow black vertical strips represent insulating separating surfaces between adjacent modulation photogates 1 and 2.

The modulation photogates are here distinguished by references 1 and 2 because the modulation photogates denoted by the same reference 1 are also modulated in push-pull relationship with each other while the potential of the modulation photogates which in turn are denoted by reference 2 which is the same in relation thereto are modulated in push-pull relationship with the modulation photogates 1. M in the lower part diagrammatically indicates the modulation circuit, in particular the modulation electronics and connections or terminals 8 of the modulation voltage supply. A in the upper part of Figure 1 diagrammatically shows the reading-out circuit, in particular the reading-out electronics and connections or terminals and a signal processing arrangement connected to the accumulation gates 4 and 5 respectively. In this case, all accumulation gates 4, that is to say every second accumulation gate, is connected to a first common reading-out line and the accumulation gates 5 disposed therebetween are connected to another common reading-out line. The reading-out circuit ascertains the sum signal  $U_{\Sigma}$  and also the difference  $U$  from the photocharges of the accumulation gates 4 and 5.  $U_{\Sigma}$  is a measurement in respect of the sum of the total photocharges which are averaged in respect of time while  $U$  is a measurement in respect of the difference of the photocharges at the accumulation gates 4 and 5 or  $K_+$  and  $K_-$  respectively. The modulation photogates 1 are for example connected to the voltage terminal  $+U_m(t)$  when the modulation photogates 2 are connected to the voltage terminal  $-U_m(t)$ . The modulation voltage is preferably a pseudo-noise voltage or also a pseudo-random voltage, but it would also be possible to use any other encoded modulation signal with a suitable narrow correlation function and an adequate word length.

If the modulation photogates 1 are at a low voltage level while the modulation photogates 2 are at a high voltage level the charge carriers, being photoelectrons in the embodiment of Figures 3 and 4, are passed predominantly or almost exclusively only to the accumulation gates 4 while the accumulation gates 5 collect no or almost no charges. If the

voltage conditions are reversed and the modulation photogates 1 are therefore at high potential while the modulation photogates 2 are at low potential, then the charge carriers flow away almost exclusively by way of the accumulation gates 5. In the event of the charge carriers produced involving a substantial variation in respect of time which is produced by illumination, varying with the same function, of an object whose image is recorded by the pixel, that also affords information about the moment in time at which the charge carriers were produced on the photosensitive surfaces. Modulation of the modulation photogates with the same modulation function as that with which illumination of the object is also modulated then furnishes as the signal U the correlation function which contains the items of information relating to the distance of the imaged pixel.

As will be seen, the strips are very narrow in comparison with their length, in which respect the corresponding conditions are not shown true to scale in the Figures. On the contrary, in practice the individual strips are even substantially longer in relation to their width. The narrow strips correspond to a very short gate length, that is to say a very short drift distance for charge carriers which are produced under a modulation photogate 1 or 2, to one of the reading-out gates 4 or 5. The correspondingly short drift times permit corresponding fast modulation signals and therefore result in a high band width.

In order however not to have an adverse effect on the level of measurement accuracy by virtue of the resistance of the modulation photogates in their longitudinal direction, a plurality of modulation connections or terminals  $m_1$ ,  $m_2$  and  $m_3$  are respectively connected in parallel at equal spacings with respect to each other preferably from the top side of the pixel to the respective modulation photogates 1 and 2 so that modulation can be effected simultaneously at the respective connecting points of the connecting lines  $m_1$ ,  $m_2$  and  $m_3$ , in which respect it will be appreciated that the number of said connections can be varied

and adapted according to the requirements and according to the length of the individual strips.

Alternatively or in addition, this problem can be resolved if the modulation photogates directly adjoining the accumulation gates 4, 5, on the side of the strip which is towards the accumulation gates, in part involve a strip-shaped covering of for example between a quarter and a third of the modulation photogate width, by means of a contacting strip of high conductivity and of no or very slight transparency for the electromagnetic waves, preferably in the form of a metal film applied to the modulation photogate, that measure being adapted to focusing of the light in the pixel region by the cylindrical lenses according to the invention.

In addition the modulation photogates 1 and 2 may also directly involve an end connection from the block identified by M at the bottom.

Figure 2 diagrammatically shows a pixel which is composed of two identical pixel elements 10, 10' which are each only of half the width in comparison with the pixel element 10 shown in Figure 1. For the sake of clarity the additional modulation connections  $m_1$ ,  $m_2$  and  $m_3$  are not shown herein but could obviously also be present.

The two strip fields of these pixels 10, 10' are arranged in directly mutually juxtaposed relationship so that two individual modulation photogates 2 and 1 respectively are disposed in mutually juxtaposed relationship in the center at the interface between the two pixels 10, 10'. Each of the pixels 10, 10' has its own modulation voltage supply and also its own reading-out circuit and its own reading-out lines. If the modulation voltages of the pixel 10' are applied in relation to those of the pixel 10 in such a way that the strip 2 of the pixel 10 is modulated in push-pull relationship with the strip 1 of the pixel 10', the two pixel elements co-operate just like the larger pixel 10 of Figure 1 illustrated above. It is however also possible to choose the modulation voltage of the pixel 10' as being phase-shifted through 90° relative to the modulation voltage of the pixel 10, which corresponds to an in-phase and a quadrature signal.

Accordingly here the individual voltages, and the modulation and the evaluation circuit are additionally identified by the index I for 'in-phase' and the corresponding circuits and voltage symbols of the pixel 10' are identified by the additional index Q for 'quadrature'.

5           Figure 3 diagrammatically shows a specific physical structure of the double pixel illustrated in Figure 2. It will be seen that this double pixel is disposed on a common substrate and that the arrangement and sequence of the individual modulation photogate layers, insulating layers and accumulation gate layers does not differ from the arrangement which  
10           would also be involved in the case of the larger pixel shown in Figure 1. Only the electrical connections are completely separated from each other for the right-hand and left-hand pixel halves so that it is possible for modulation of the modulation photogates arranged in the right-hand half to be selected independently of modulation of the modulation photogates  
15           arranged in the left-hand half, which, as already mentioned, permits separation of the signals into in-phase and quadrature signals and enhances the versatility of the PMD-pixel.

          Figure 4 is simply a plan view which essentially also corresponds to the plan view in Figure 2, but the individual strip elements are illustrated  
20           as being interrupted in terms of their length in order to be able to show the overall arrangement on an enlarged scale, while the individual connections of the modulation photogates to the modulation circuit and also the connections of the reading-out circuits to the accumulation gates 4 and 5 are additionally illustrated in detail.

25           Figures 5 through 7 show a further alternative embodiment of the invention, in which a further modulation photogate 3 is also additionally provided between the modulation photogates 1 and 2, as have already been described with reference to Figures 1 through 4. In this case the circuit symbols at the right in each of Figures 5 through 7 indicate that this  
30           central modulation photogate is held at a constant potential and relative thereto the modulation photogates 1 and 2 are increased and reduced in

their potential in accordance with the modulation function. That results in a generally flattened potential configuration and a still better channel separation effect, a higher drift speed and a lower level of modulation power.

5 In this respect, Figure 5 is a view in section similarly to Figure 3, but without the pixel in this case being divided into a plurality of portions. Figure 5 illustrates an advantageous configuration with a buried n-layer and with modulation gate electrodes which are embedded in the insulating material, which is advantageous for very small structures, in comparison  
10 with overlapping gate structures. Figure 6 shows a view from above similarly to Figure 4 and Figure 7 shows a perspective view of this photomixing detector PMD.

Figure 8 shows four pixel elements which are composed of strip-shaped modulation photogates and accumulation gates and which are  
15 each of a substantially square shape and which are assembled to form a pixel which is once again square overall, wherein the strips in the quadrants which are arranged in diagonal relationship with each other respectively extend parallel to each other while they extend perpendicularly to each other between adjacent quadrants. That  
20 substantially suppresses mutual overcoupling and falsification of different adjacent modulation signals. In that arrangement the evaluation circuits are moved to those sides of the square which can be arranged outside the square pixel surface. In this case also modulation of the modulation photogates is preferably again effected with a modulation voltage signal  
25 which, for two quadrants in mutually diagonal relationship, relative to the other two diagonally arranged quadrants, is phase-shifted through  $90^\circ$  or is delayed by a chip width  $T_{\text{chip}}$  in the case of PM-modulation, which in turn results in simultaneous measurement of in-phase and quadrature signals. The modulation voltage lines which overlap at the center can be closed for  
30 1-quadrant operation, for 2-quadrant operation they can be only horizontally and vertically connected and for separate 4-quadrant

operation they can be open. It is however also advantageous, in the situation involving 4 identical modulation signals and thus preferably connected lines, to provide for reading-out separately four times. The required IQ-value pairs for transit time determination can also be ascertained in a time multiplex manner in the 1-quadrant mode of operation. In the alternative heterodyne process the 4 correlation functions can pass through, with the beat frequency, and in that way the items of spacing information can be ascertained.

Figure 9 shows a panel or field of  $2 \times 8$  pixels of the kind shown in Figure 8. Arranged over each of those pixels which are here generally denoted by reference 100 is a microlens 6 which serves to focus the light impinging on the surface covered by the entire pixel field substantially on to the actual photosensitive surfaces of the pixels. The drawing does not show strip lenses which are arranged extending parallel to the strips on the individual pixels and which cover the entire pixel surface in such a way that the light impinging on the strip lenses is concentrated only on to the regions between the accumulation gates, that is to say only on to the modulation photogates.

Figure 10 shows the principle of a 3D-camera equipped with the pixels 100 according to the invention. A generator 11, in this example a PN (pseudo noise) generator, controls an optical transmitter, here a laser diode 12 whose light is projected by way of an optical device 13 on to the surface of an object 7. In this case, the light intensity is modulated with the modulation signal of the generator 11. The correspondingly reflected and likewise modulated light is projected by way of a camera optics 14 on to an array of image pixels 100 which in particular can be in the form of the pixels or photomixing elements shown in Figure 8.

They are modulated with a delay member 15 with an adjustable time delay  $T_D$  for the I-output and an additional fixed time delay  $T_{chip}$  for the Q-output with the same modulation signal from the PN-generator 11 as also the diode 12, but in push-pull relationship. The modulated received

light signal is therefore correlated twice per pixel with the same modulation function by means of the modulation photogates so that that entails transit time information and thus also spacing information of individual elements of the surface of the object 7.

- 5 In the case of the configuration according to the invention, in the form of long narrow strips, those items of depth information are no longer misinterpreted by virtue of light-dark boundaries on the surface of the object 7.

- 10 Figures 11 and 12 show the use of corresponding PMD-elements in the highly sensitive reception of optical signals by means of phase regulating circuits, PLL and DLL.

- Figure 11 shows an optical PLL-circuit or DLL-circuit with a PMD-pixel as electro-optical mixing element which has a very high level of sensitivity as can advantageously be used in light barrier arrangements, as a PLL-array in time-lapse cameras, in optical remote controls and in data light barrier assemblies as well as for the regeneration of data signals in optical communications. An optical PMD-PLL can be highly integrated as the usual reception-HF-amplifier which is connected downstream of the photodiode and the electronic mixer are completely eliminated because  
15 the photomixing detector PMD with the reading-out circuit 31 at the output 34 already provides the mixed product in the low frequency range in the form of a low pass-filtered difference signal  $U_d = \text{const} \cdot (i_a - i_b)$ . The phase regulating circuit is connected by way of a loop filter or a digital regulator.

- 25 It can be used for many modulation modes, for example for sine, rectangular, frequency, phase modulation and for code multiplexing, for example PN-encoding. In that case the voltage-controlled generator 33 is set to the clock rate and modulation to be received. When the phase regulating circuit is latched data signals which in the case of the wide-band PMD according to the invention occur at a wide-band sum output 35  
30 of the reading-out circuit 31 or by way of a wide-band photodiode with



amplifier, which is operated in parallel with the same optical data signal, can be regenerated with a 1/0-decision element 32 by virtue of clock recovery of that kind. For that purpose the optical 1/0-data signals are preferably encoded as return-to-zero (RZ)-signals.

- 5           Figure 12 shows a 2Q-PMD-DLL with which even higher levels of sensitivity can be achieved on the basis of an IQ-PMD-receiver, in particular with PN-modulation.

As in the above-mentioned patent applications to the same applicant, which form the basis for this patent application, periodic PN-modulation affords great advantages in terms of PMD-reception, in particular the possibility of multi-channel selectivity, multi-target detection and the highest degree of sensitivity in respect of phase transit time resolution. In accordance with the invention it is also possible to use PN-encoded data signals for data light barrier arrangements inclusive of distance measurement and for optical CDMA-data transmission, for example, as shown in Figure 12. In that respect for example a logic '1' corresponds to a normal PN-word whereas a logic '0' corresponds to the inverted PN-word =  $\overline{PN}$ , that is to say the light/dark chips are interchanged. In contrast to Figure 11 in Figure 12 it is the difference output voltage that is formed as the difference of the quantitative differences of the photocurrents:  $U_A = \text{const} \cdot (|i_a - i_b| - |i_c - i_d|)$ . By means of the recovered word clock it is possible to regenerate the data signal of the PN-encoded 1/0-data sequence by a procedure whereby in the summing amplifier the sum of the differences of the photocurrents  $U_S =$

10           const  $\cdot (|i_a - i_b| - |i_c - i_d|)$  is respectively formed by way of a PN-word length by means of a short-term integrator contained in the summing amplifier and in the 1/0-decision element the 1/0-decision is taken in clock-synchronous manner for subsequent evaluation or regeneration.

- 25           With a VCO with sine modulation for the modulation voltage and with  $T_{\text{chip}} = T/4$  of the sine period it is also possible to detect and regenerate vector modulation.
- 30

## CLAIMS

1. An apparatus for detecting the phase and amplitude of electromagnetic waves, preferably in the optical and in the near infrared and ultraviolet range, comprising at least two modulation photogates (1, 2) which are sensitive to the electromagnetic waves (photosensitive) and accumulation gates (4, 5) which are associated with the modulation photogates and which are not photosensitive or shaded, and electrical connections for the modulation photogates (1, 2) and the accumulation gates (4, 5) so that the latter can be connected to a reading-out device and the former can be connected to a modulating device which increases or reduces the potential of the modulation photogates (1, 2) relative to each other and relative to the preferably constant potential of the accumulation gates (4, 5) corresponding to a desired modulation function, characterised in that there are provided a plurality of modulation photogates (1, 2) and accumulation gates (4, 5) in the form of long narrow parallel strips which group-wise form a PMD-pixel, wherein the accumulation gates are in the form of reading-out diodes with preferably in each case the cathode as the reading-out electrode.

2. Apparatus as set forth in claim 1 characterised in that the width of the modulation photogates is greater than the width of the accumulation gates.

3. Apparatus as set forth in claim 1 or claim 2 characterised in that the width of the individual modulation photogates is of the order of magnitude of the wavelength or in particular for the remote infrared range also less than the wavelength of the electromagnetic radiation to which the modulation photogates are sensitive.

4. Apparatus as set forth in one of claims 1 through 3 characterised in that the strip length of the modulation photogates (1, 2) and the accumulation gates (4, 5) is more than ten times and preferably more than fifty times the wavelength of the electromagnetic radiation to which the modulation photogates are sensitive.

5. Apparatus as set forth in one of claims 1 through 4 characterised in that there are provided a plurality of modulation photogates in paired parallel mutually juxtaposed relationship, wherein each of the modulation photogates (1, 2) of such a pair is connected to another modulation connection so that the modulation photogates (1, 2) are modulatable in push-pull relationship, wherein a respective accumulation gate (5, 4) is arranged between a pair of modulation photogates (1, 2) and a next adjacent further pair of modulation photogates (2, 1) and wherein the modulation photogates (1, 2) of the two pairs, which are immediately adjacent to a respective accumulation photogate (4, 5), are connected or electrically joined to the modulation connections in such a way that their modulation occurs respectively in push-pull mode.

6. Apparatus as set forth in one of claims 1 through 5 characterised in that a plurality of modulation connections ( $m_1$ ,  $m_2$ ,  $m_3$ ) are arranged at substantially equal spacings along the length of the strips and are connected to the modulation photogates (1, 2).

7. Apparatus as set forth in one of claims 1 through 6 characterised in that the modulation photogates immediately adjoining the accumulation gates (4, 5), on the side towards the accumulation gates, partially involve a covering by a contacting strip of high conductivity and of no or very low transparency for the electromagnetic waves.

8. Apparatus as set forth in one of claims 1 through 7 characterised in that the apparatus has one or more pixel elements, wherein a pixel element comprises a plurality of pairs of modulation gates (1, 2) and accumulation gates (4, 5), wherein the strip directions of adjacent pixel elements at different modulation voltages are preferably perpendicular to each other and wherein transversely with respect to the strip direction the ends of the pixels are defined by at least one respective modulation photogate (1, 2) which adjoins a next inwardly disposed accumulation gate (4, 5).

9. Apparatus as set forth in claim 8 characterised in that the accumulation gate connections are provided at a respective end of the strips of a pixel, wherein each second accumulation gate is connected to a respective one of two reading-out lines (for example K+) and the other accumulation gates are connected to the respective other one of the connection lines (corresponding to K-), wherein the reading-out lines lead to an evaluation circuit.

10. Apparatus as set forth in claim 8 or claim 9 characterised in that two pixel elements (10, 10') are arranged with their strips parallel and in directly mutually juxtaposed relationship so that the mutually immediately adjacent modulation photogates which define the mutually juxtaposed ends or sides of the two pixel elements (10, 10') form a pair of modulation photogates (1, 2) which are modulatable selectively in push-pull mode or phase-displaced relationship, whereby either a single pixel element of double the size is formed or two independent measurement procedures, for example of an in-phase signal and a quadrature signal, are possible with the two pixel elements.

11. Apparatus as set forth in one of claims 8 and 9 characterised in that four pixel elements are arranged in a rectangle, wherein the strips of

the pixels which are disposed in diagonally opposite relationship in the rectangle respectively extend parallel to each other while the strips of the immediately adjacent pixel elements extend perpendicularly to each other, and wherein the modulation connections are connected in such a way that modulation of adjacent pixel elements (10) can be effected in phase-shifted relationship, more specifically preferably through  $90^\circ$  in each case.

12. Apparatus as set forth in claim 9 characterised in that each of the pixel elements (10) is respectively of a substantially square shape and the four pixel elements are assembled to form a square or that the corners are additionally cut off in such a way that substantially an octahedron shape is formed.

13. Apparatus as set forth in claim 12 characterised in that the four pixel elements are selectively combined individually (4-quadrant operation) or doubly in diagonal relationship (2-quadrant operation) or in quadruple relationship (1-quadrant operation), wherein in the case of 4-quadrant operation and 2-quadrant operation the gradient or normal vector of the surface element is additionally evaluated.

14. Apparatus as set forth in one of claims 1 through 12 characterised in that the modulation photogates and the accumulation gates and the associated signal evaluation peripheral equipment and modulation peripheral equipment are produced in part on-chip and in part as a multi-chip module using CMOS-technology or BICMOS-technology.

15. Apparatus as set forth in one of claims 1 through 14 characterised in that arranged over the modulation photogates (1, 2) are strip lenses which focus substantially all the light incident on the surface of a pixel element exclusively on to the modulation photogates (1, 2).

16. Apparatus as set forth in one of claims 1 through 15 characterised in that a plurality of pixels are arranged in a linear or matrix array.

17. Apparatus as set forth in one of claims 1 through 16 characterised in that in a linear or matrix array both PMD-pixels with 3D-functionality and also conventional CMOS-pixels with 2D-functionality are used in mixed mode, wherein the various and in particular adjacent items of pixel information are passed to a data-fusioning and interpolating device for reconstruction of the depth image.

18. Apparatus as set forth in claim 16 and claim 17 characterised in that preferably there is associated with each PMD-pixel a microlens which concentrates the light incident on the array substantially on to the photosensitive surface of the individual pixels.

19. Use of an apparatus as set forth in one of claims 1 through 18 characterised in that the apparatus is used as a photosensitive image-recording element in a camera.

20. Use of the apparatus as set forth in one of claims 1 through 18 characterised in that the apparatus is used in optical signal processing as a frequency- and phase-sensitive mixing or correlation element for signal acquisition, processing and noise suppression.

21. A method of operating an apparatus as set forth in one of claims 1 through 18 characterised in that a scene of which an image is to be produced is illuminated with a light modulated in accordance with a modulation function, wherein the modulation photogates (1, 2) are modulated with the same but now bipolar or push-pull modulation function and wherein selectively for half of a 2-quadrant or 4-quadrant pixel of the

pixels 90° phase-shifted modulation is effected in the case of sine modulation or a bit width in the case of rectangular modulation or a chip width in the case of PN-modulation of the modulation photogate voltages.

22. Use of an apparatus as set forth in one of claims 1 through 18 characterised in that the apparatus is used in an optical PLL-circuit or DLL-circuit which is preferably highly integrated and is preferably used in light barrier arrangements, as a PLL-array in time lapse cameras, in optical remote controls and in data light barrier arrangements and for the regeneration of data signals in optical communications with various modulation modes.

23. Use of an apparatus as set forth in one of claims 1 through 18 and 22 characterised in that the apparatus is used in an optical PLL- or DLL-circuit with a 2Q-PMD-DLL on the basis of an IQ-PMD-receiver, in particular with PN-modulation, wherein digital PN-encoded data signals are used for multi-channel selection, multi-target detection and for highest sensitivity in phase transit time resolution, wherein the difference output voltage is formed as the difference of the quantitative differences of the photocurrents as  $U_d = \text{const} \cdot (|i_a - i_b| - |i_c - i_d|)$  and is fed back by way of a loop filter or a digital regulator as a control parameter of the voltage-controlled multivibrator to the chip frequency and wherein the data signal of the PN-encoded 1/0-data sequence is regenerated by means of the recovered word clock by a procedure whereby in the summing amplifier (41) the sum of the differences of the photocurrents  $U_z = \text{const} \cdot (|i_a - i_b| - |i_c - i_d|)$  is respectively formed over a PN-word length by means of a short-term integrator contained in the summing amplifier.

## Abstract of the Disclosure

### Apparatus and Method for Detecting the Phase And Amplitude of Electromagnetic Waves

The present invention concerns an apparatus for detecting the phase and amplitude of electromagnetic waves, comprising at least two modulations photogates which are sensitive to the electromagnetic waves, and accumulating gates which are associated with the modulation photogates and which are not photosensitive, and electrical connections for the modulation photogates and the accumulation gates so that the latter can be connected to a reading-out device and the former can be connected to a modulating device which increases and reduces the potential of the modulation photogates relative to each other and relative to the preferably constant potential of the accumulation gates corresponding to a desired modulation function. It is proposed in accordance with the invention that a plurality of modulation photogates and accumulation gates are provided in the form of long narrow parallel strips which group-wise form a PMD-pixel.



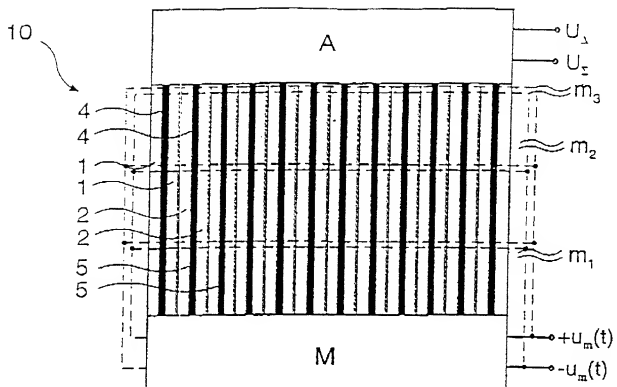


Fig. 1

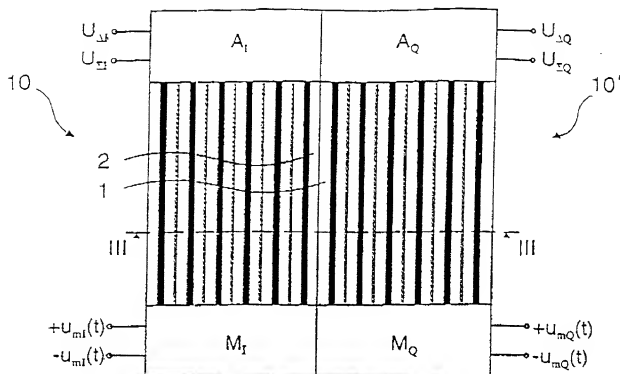


Fig. 2

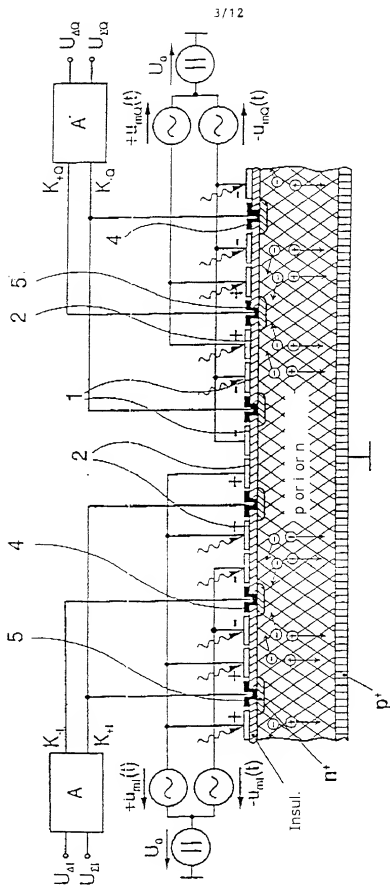


Fig. 3

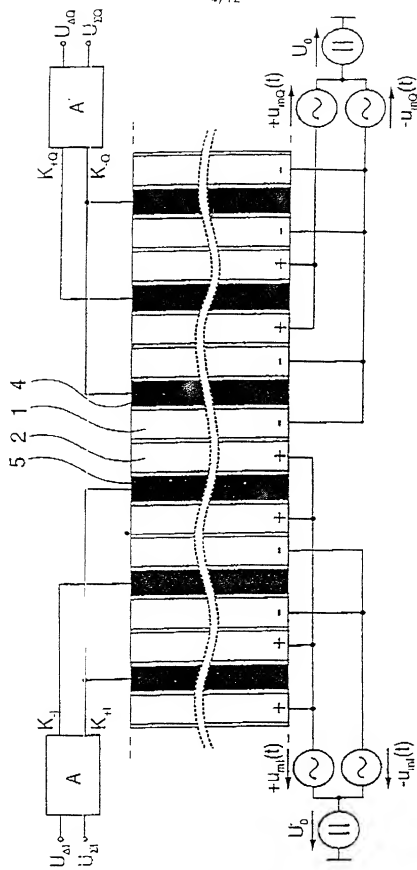


Fig. 4



6/12

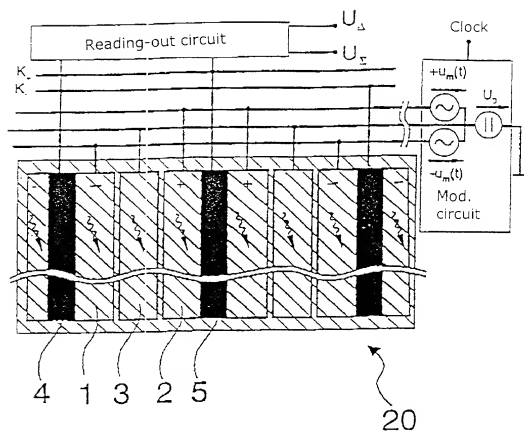


Fig. 6



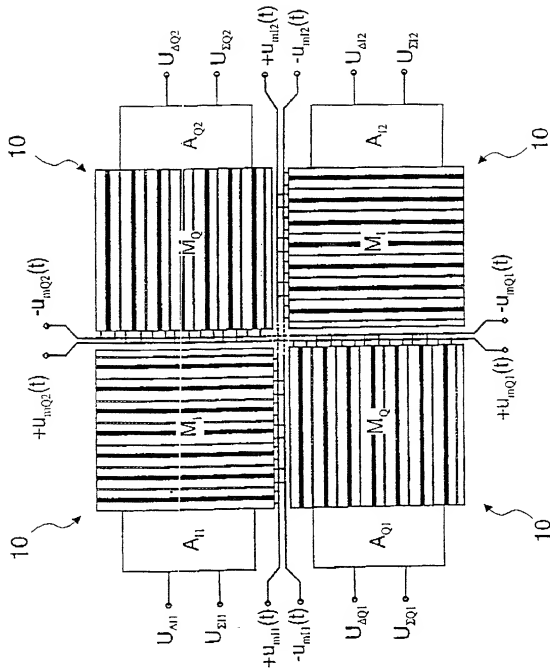


Fig. 8



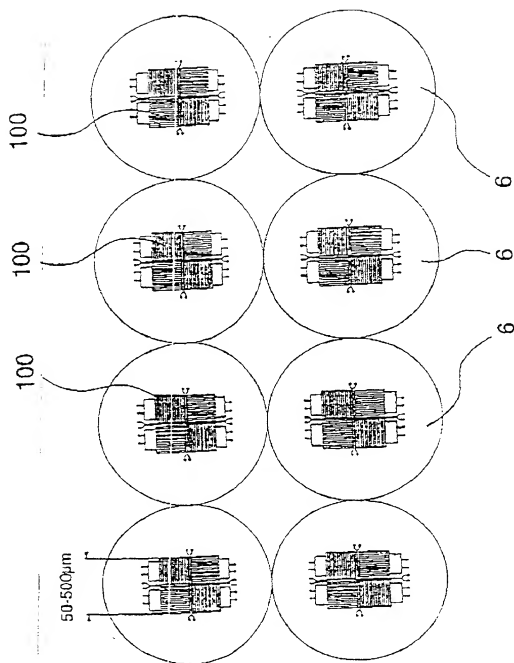


Fig. 9

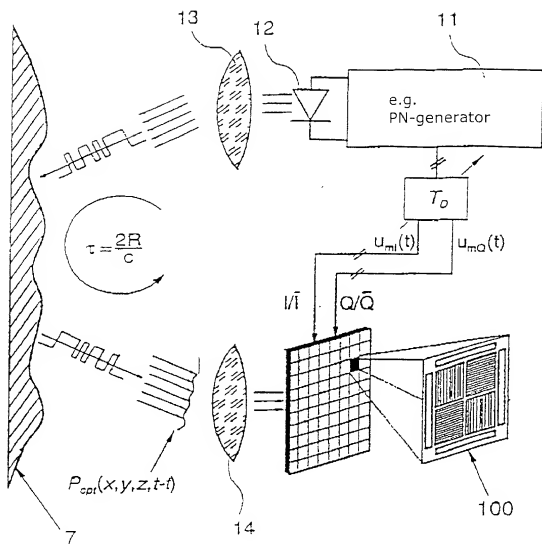


Fig. 10

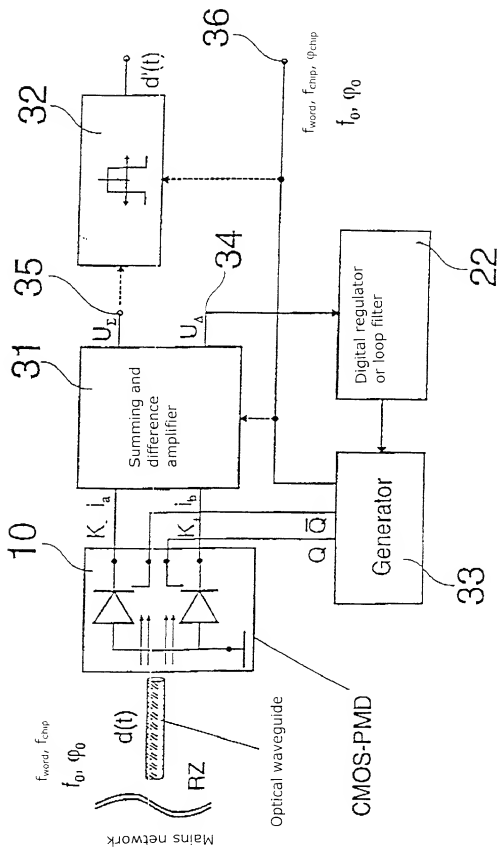


Fig. 11



Fig. 12

*DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name,

I believe I am the original, first and solo inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **APPARATUS AND METHOD FOR DETECTING THE PHASE AND AMPLITUDE OF ELECTROMAGNETIC WAVES** the specification of which

is based on International Application PCT/DE99/01436 filed  
May 10, 1999 which is based on German Patent Application  
No. 198 21 974.1 filed May 18, 1998

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)	Priority Claimed
<u>19821974.1</u> (Number)	<u>Germany</u> (Country)
<u>18 May 1998</u> (Day/Month/Year Filed)	<u>X</u> Yes
	<u>No</u> No
<u>PCT/DE99/01436</u> (Number)	<u>International</u> (Country)
<u>10 May 1999</u> (Day/Month/Year Filed)	<u>X</u> Yes
	<u>No</u> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material

information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of the application:

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status)</u>
		(patent, pending, abandoned)

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status)</u>
		(patent, pending, abandoned)

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

6- James C. McConnon	<u>18,030</u>	Ourmazd S. Ojan	<u>38,065</u>
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Rudolf Schwarte

Inventor signature: Rudolf Schwarte

8 Nov 2006  
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